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03/25/98  
JCS29 U.S. PTO

PATENT

Attorney's Docket No.: U 011678-8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventors:

1. MAKOTO TANIGUCHI
2. HITOSHI OHTA
3. KAZUHIKO KITAMURA

**WARNING:** The Declaration must name all of the actual inventor(s).

For (title):  
INK COMPOSITION COMPRISING CATIONIC, WATER-SOLUBLE RESIN

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Original (nonprovisional)
- ☐ Design
- ☐ Plant

**WARNING:** Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.

**WARNING:** Do not use this transmittal for the filing of a provisional application.

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date **MARCH 25, 1998** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **E1527992802US** addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231

**LORETTA DALE**  
(type or print name of person mailing paper)

  
(Signature of person mailing paper)

**NOTE:** Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 CFR 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

2. **Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

**NOTE:** If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

**WARNING:** If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c). (35 U.S.C. 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

**WARNING:** When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional **must** be filed prior to the Saturday, Sunday or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**.

**NOTE:** If one of the following 3 items apply, then complete and attach **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED** and a **NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION**.

- ☐ Divisional.  
☐ Continuation.  
☐ Continuation-in-Part (C-I-P).

3. **Papers Enclosed That Are Required For Filing Date Under 37 CFR 1.53 (Regular) or 37 CFR 1.153 (Design) Application**

27 Pages of specification

4 Pages of claims

1 Pages of Abstract

— Sheets of drawing

- ☐ formal  
☐ informal

**WARNING:** **DO NOT** submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 O.G. 57-62).

**NOTE:** "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page." 37 C.F.R. 1.84(c).

(complete the following, if applicable)

- ☐ The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)". 37 C.F.R. 1.84(b).

4. **Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 CFR 1.98)
- ☐ Form PTO-1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or oath**

- ☐ Enclosed  
executed by *(check all applicable boxes)*
  - ☐ inventors.
  - ☐ legal representative of inventors. 37 CFR 1.42 or 1.43
  - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
    - ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. *See item 13 below for fee.*
- ☒ Not Enclosed.

**WARNING:** *Where the filing is a completion in the U.S. of an International Application but where a declaration is not available or where the completion of the U.S. application contains subject matter in addition to the International Application the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.*

- ☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of *all the above named inventors*. (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).

**NOTE:** *It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).*

- ☐ Showing that the filing is authorized. *(Not required unless called into question. 37 CFR 1.41(d).)*

6. **Inventorship Statement**

**WARNING:** *If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.*

The inventorship for all the claims in this application are:

- ☐ The same
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

7. **Language**

**NOTE:** An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of \$130.00 required by 37 CFR 1.17(k) is required to be filed with the application or within such time as may be set by the Office. 37 CFR 1.52(d).

**NOTE:** A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 CFR 1.69(b).

- ☒ English  
☐ non-English  
☐ the attached translation is a verified translation. 37 CFR 1.52(d).

**8. Assignment**

- ☒ An assignment of the invention to SEIKO EPSON CORPORATION  
☐ is attached. A separate ☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or ☐ FORM PTO 1595 is also attached.  
☒ will follow.

**NOTE:** "If an assignment is submitted with a new application, send two separate letters—one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

**WARNING:** A newly executed "CERTIFICATE UNDER 37 CFR 3.73(b)" must be filed when a continuation-in-part application is filed by an assignee. Notice of April 30, 1993. 1150 O.G. 62-64.

**9. Certified Copy**

Certified copy of application

Country	Appln. No.	Filed
Japan	72217/1997	March 25, 1997

from which priority is claimed

- ☐ is attached.  
☒ will follow.

**NOTE:** The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 CFR 1.55(a) and 1.63.

**NOTE:** This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

**10. Fee Calculation (37 CFR 1.16)**

- A. ☒ Regular Application

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Claims as Filed

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Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$790.00
Total Claims (37 CFR 1.16(c))	21 - 20 = 1 x \$	22.00	22.00
Independent Claims (37 CFR 1.16(b))	2 - 3 = 0 x \$	82.00	
Multiple dependent claim(s), if any (37 CFR 1.16(d))	+ \$	270.00	

- ☐ Amendment cancelling extra claims enclosed.
- ☐ Amendment deleting multiple-dependencies enclosed.
- ☒ Fee for extra claims is not being paid at this time.

**NOTE:** If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing Fee Calculation \$

- B. ☐ Design application  
(\$330.00 — 37 CFR 1.16(f))

Filing Fee Calculation \$

- C. ☐ Plant application  
(\$530.00 — 37 CFR 1.16(g))

Filing Fee Calculation \$

**11. Small Entity Statement(s)**

- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached or has been filed.

Filing Fee Calculation (50% of A, B or C above) \$

**NOTE:** Any excess of the full fee paid will be refunded if a verified statement and a refund request are filed within 2 months of the date of timely payment of a full fee. 37 CFR 1.28(a).

**12. Request for International-Type Search (37 CFR 1.104(d)) (Complete, if applicable)**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**13. Fee Payment Being Made At This Time**

- ☒ Not Enclosed
- ☒ No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

- ☐ Enclosed

☐ basic filing fee \$

- ☐ Recording assignment  
(\$40.00; 37 CFR 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION.")
- ☐ Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.  
(\$130.00; 37 CFR 1.47 and 1.17(h)) \$
- ☐ For processing an application with a specification in a non-English language.  
(\$130.00; 37 CFR 1.52(d) and 1.17(k)) \$
- ☐ Processing and retention fee  
(\$130.00; 37 CFR 1.53(d) and 1.21(l))
- ☐ Fee for international-type search report  
(\$40.00; 37 CFR 1.21(e)). \$

*NOTE: 37 CFR 1.21(l) establishes a fee for processing and retaining any application which is abandoned for failing to complete the application pursuant to 37 CFR 1.53(d) and this, as well as the changes to 37 CFR 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid or the processing and retention fee of § 1.21(l) must be paid within 1 year from notification under § 53(d).*

Total fees enclosed \$

#### 14. Method of Payment of Fees

- ☐ Check in the amount of \$
  - ☐ Charge Account No. 12-0425 in the amount of \$
- A duplicate of this transmittal is attached.

*NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).*

#### 15. Authorization to Charge Additional Fees

**WARNING:** If no fees are to be paid on filing, the following items should not be completed.

**WARNING:** Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

- ☐ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-0425.
  - ☐ 37 CFR 1.16(a), (f) or (g) (filing fees)
  - ☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

*NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 CFR 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.*

- ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- ☐ 37 CFR 1.17 (application processing fees)

**WARNING:** While 37 CFR 1.17(a), (b), (c) and (d) deal with extensions of time under § 1.136(a), this authorization should be made only with the knowledge that: "Submission of the appropriate extension fee under 37 C.F.R. 1.136(a) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G. 27)

- ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 CFR 1.311(b).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application ... prior to paying, or at the time of paying, ... issue fee". From the wording of 37 CFR 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

**16. Instructions As To Overpayment**

- ☐ credit Account No. 12-0425  
☐ refund



Signature of Attorney

Reg. No.

Tel. No.

WILLIAM R. EVANS  
c/o LADAS & PARRY  
26 WEST 61st STREET  
NEW YORK, N.Y. 10023  
Reg. No. 28,868 (212) 708-1945

- ☐ **Incorporation by reference of added pages**

(Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)

- ☐ Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added \_\_\_\_

- ☐ Plus Added Pages for Papers Referred to in Item 4 Above

Number of pages added \_\_\_\_

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added \_\_\_\_

- ☒ **Statement Where No Further Pages Added**

(If no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item:)

- ☒ This transmittal ends with this page.

INK COMPOSITION COMPRISING  
CATIONIC, WATER-SOLUBLE RESIN

BACKGROUND OF THE INVENTION

5 Field of the Invention

The present invention relates to an ink composition suitable for ink jet recording.

Background Art

10 It is important that an image formed by an ink composition possesses good waterproofness and lightfastness and has no significant feathering or bleeding.

In order to realize good waterproofness, a combination of a cationic resin with an anionic dye has hitherto been used in the art.

15 For example, Japanese Patent Laid-Open No. 119280/1987 discloses an ink comprising a hydroxyethylated polyethylene-imine polymer and a dye component. This publication describes that the combination of the polyethylene-imine polymer with the dye component can  
20 develop waterproofness.

Japanese Patent Publication No. 91494/1995 discloses an ink composition comprising a hydroxyalkylated polyallylamine polymer and a dye. This publication describes that the combination of the primary amine with  
25 the dye can develop waterproofness.

For the above two inks, a further improvement in ensuring satisfactory waterproofness of the image is required because the polymer has been substituted by a highly hydrophilic hydroxyalkyl group.

30 Japanese Patent Laid-Open Nos. 255876/1990, 296876/1990, and 188174/1991 disclose an ink composition comprising a polyamine, having a primary amine group, with a molecular weight of not less than 300, an anionic dye, and a stabilizer. These publications describe that the  
35 combination of the primary amine with the anionic dye can develop waterproofness.

Japanese Patent Laid-Open No. 305011/1995 discloses a water-base ink comprising a basic, water-soluble polymer, an anionic dye with a volatile base as a counter ion, and a buffer with a volatile base as a counter ion. This publication describes that the volatile base inhibits the dissociation of the polymer in the ink and, on paper, the volatile base is evaporated to promote a salt formation reaction between the polymer and the dye, thereby developing waterproofness.

Japanese Patent Laid-Open No. 238783/1987 discloses an ink jet recording sheet containing a homopolymer of a diallylamine and a monoallylamine or a copolymer of the diallylamine with the monoallylamine. This publication describes that an insolubilizing reaction between the polymer and the dye is created on this recording medium to develop waterproofness.

For some of the above ink compositions, a further improvement in lightfastness is required. For example, in the case of ink compositions using polyethyleneimine, which is specifically described as the cationic resin in Japanese Patent Laid-Open Nos. 255876/1990, 296876/1990, and 188174/1991, this resin strongly attacks the dye, and, for example, when these inks are allowed to stand at a high temperature, this results in decomposition of the dye or accelerates the photodecomposition of the print to often render the lightfastness of the ink lower than that of the ink using the dye alone.

Japanese Patent Laid-Open No. 305011/1995 discloses a recording method using the recording medium containing a cationic resin. Since the ink composition disclosed in the publication is not waterproof, the waterproofness cannot be developed in an image when recording media other than the recording medium described in this publication are used.

For the printed image, what is further important is to prevent feathering or bleeding, especially color-to-color bleeding in the case where inks of two or more colors

are used.

### SUMMARY OF THE INVENTION

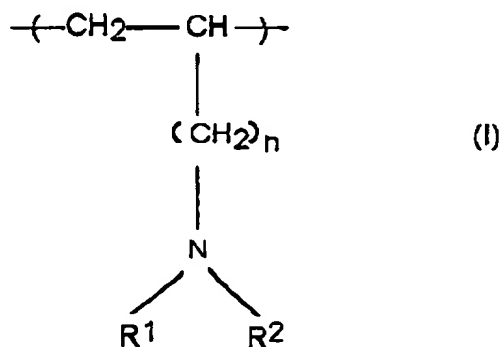
5 The present inventors have now found that an ink composition containing a cationic, water-soluble resin having a specific structure can realize an image possessing good waterproofness and lightfastness and having no significant feathering or bleeding. The present invention has been made based on such finding.

10 It is therefore an object of the present invention to provide an ink composition which can yield an image possessing good waterproofness and lightfastness and having no significant feathering or bleeding, especially no significant color-to-color bleeding.

15 Furthermore, it is an object of the present invention to provide an ink composition suitable for ink jet recording.

20 According to one aspect of the present invention, there is provided an ink composition comprising at least an alkali-soluble colorant, a water-soluble organic solvent, water, and a cationic, water-soluble resin,

the cationic, water-soluble resin comprising a repeating unit represented by the following formula (I):



25

wherein  $\text{R}^1$  and  $\text{R}^2$  which may be the same or different represent a hydrogen atom or a  $\text{C}_{1-5}$  alkyl group, provided that  $\text{R}^1$  and  $\text{R}^2$  do not simultaneously represent a hydrogen

atom: and

n is 0, 1, or 2.

#### DETAILED DESCRIPTION OF THE INVENTION

##### 5 Ink composition

The ink composition according to the present invention may be used in recording methods using an ink composition. Recording methods using an ink composition include, for example, an ink jet recording method, a recording method using writing utensils, such as pens, and other various recording methods. In particular, the ink composition according to the present invention is preferably used in the ink jet recording method.

The ink composition according to the present invention contains basically alkali-soluble colorant, a water-soluble organic solvent, water, and a cationic, water-soluble resin, the cationic, water-soluble resin comprising a repeating unit represented by the formula (I).

According to the present invention, the cationic, water-soluble resin is stably dissolved in the ink composition. Once the ink composition deposits onto a recording medium, the cationic, water-soluble resin electrostatically interacts with a colorant, and, in addition, the resin also interacts with the recording medium. Therefore, the resin is stably fixed on the recording medium. It is believed that the fixation of the resin on the recording medium permits the colorant, together with the resin, to be fixed on the recording medium, thus imparting waterproofness to the printed image. It is also believed that an image having no significant feathering or bleeding is realized as a result of firm fixation of the colorant on the recording medium.

For the cationic, water-soluble resin used in the present invention,  $R^1$  and  $R^2$  in the formula (I) may be the same or different and represent a hydrogen atom or a  $C_{1-5}$  alkyl group, preferably a methyl group. However,  $R^1$  and  $R^2$

do not simultaneously represent a hydrogen atom. Most preferably,  $R^1$  and  $R^2$  simultaneously represent a methyl group.

In the formula (I),  $n$  is 0, 1, or 2, preferably 1.

5 According to a preferred embodiment of the present invention, the average molecular weight of the cationic, water-soluble resin is preferably about 300 to 10,000, more preferably about 400 to 5,000, most preferably about 500 to 2,500.

10 According to a preferred embodiment of the present invention, the cationic, water-soluble resin may comprise units other than the repeating unit represented by the formula (I). This can improve properties of the cationic, water-soluble resin. Monomers for providing units which  
15 may be contained in the resin include ethylene, propylene, isobutylene, styrene, vinyl chloride, vinylidene chloride, vinyl alcohol, vinylamine, vinyl acetate, acrylic acid or acrylic esters (for example, lower alkyl esters), methacrylic acid or methacrylic esters (for example, lower  
20 alkyl esters), acrylonitrile, methyl vinyl ether, vinylpyrrolidone, allylamine, diallylamine, and sulfur dioxide. Although the amount of units, derived from these monomers, present in the cationic, water-soluble resin is not particularly limited, it is preferably not more than  
25 70%, more preferably not more than 30%, in terms of the molar ratio. In the cationic, water-soluble resin, these units may be present in a block form or a random form.

According to a preferred embodiment of the present invention, the ink composition of the present invention may  
30 further comprise a water-soluble resin other than the cationic, water-soluble resin. The water-soluble resin other than the cationic, water-soluble resin is preferably a nonionic, water-soluble resin, and examples of nonionic, water-soluble resins usable herein include polyacrylamide,  
35 polymethacrylic acid hydroxy esters, such as polyhydroxyethyl methacrylate, polyvinyl pyrrolidone,

polyvinyl alcohol, and polyethylene glycol. Addition of these water-soluble resins can further stabilize the ink composition.

5 The alkali-soluble colorant contained in the ink composition according to the present invention may be either a dye or a pigment. The term "alkali-soluble" used herein means "soluble in an alkaline medium." The water-soluble group contained in the molecule may be an acidic or basic dissociable group or non-dissociable functional  
10 group, or alternatively may be a group containing a plurality of the above groups. Further, the colorant may be one soluble in an acidic solution so far as it is soluble in an alkali.

While the content of the colorant may not be limited,  
15 it is preferably 0.5 to 20% by weight based on the total weight of the ink composition. The colorant in the above content range can realize a printed image having satisfactory optical density. Further, the viscosity of the ink composition containing the colorant in the above  
20 content range can be easily modified to a value suitable for ink jet recording.

More preferably, the colorant is selected from organic dyes or organic pigments which are suitable because of high color density per unit weight and bright color.

25 Useful dyes are organic colored materials soluble in water and fall into categories of acidic dyes, direct dyes, reactive dyes, soluble vat dyes, and food dyes according to the color index. Further, colorants, insoluble in neutral water, falling within categories of oil-soluble  
30 dyes and basic dyes according to the color index may also be used so far as they are soluble in an aqueous alkali solution.

Preferably, the pigment is selected from those falling into the category of pigments according to the color index.  
35 Pigments are generally recognized as water-insoluble, organic colored materials, some of which are soluble in an alkali and usable in the present invention.

Examples of dyes and pigments usable herein include:  
yellow dyes and pigments, such as C.I. Acid Yellow 1, 3,  
11, 17, 19, 23, 25, 29, 36, 38, 40, 42, 44, 49, 59, 61, 70,  
72, 75, 76, 78, 79, 98, 99, 110, 111, 127, 131, 135, 142,  
5 162, 164, and 165, C.I. Direct Yellow 1, 8, 11, 12, 24, 26,  
27, 33, 39, 44, 50, 55, 58, 85, 86, 87, 88, 89, 98, 110,  
132, 142, and 144, C.I. Reactive Yellow 1, 2, 3, 4, 6, 7,  
11, 12, 13, 14, 15, 16, 17, 18, 22, 23, 24, 25, 26, 27, 37,  
and 42, C.I. Food Yellow 3 and 4, C.I. Solvent Yellow 15,  
10 19, 21, 30, and 109, and C.I. Pigment Yellow 23; red dyes  
and pigments, such as C.I. Acid Red 1, 6, 8, 9, 13, 14, 18,  
26, 27, 32, 35, 37, 42, 51, 52, 57, 75, 77, 80, 82, 85, 87,  
88, 89, 92, 94, 97, 106, 111, 114, 115, 117, 118, 119, 129,  
130, 131, 133, 134, 138, 143, 145, 154, 155, 158, 168, 180,  
15 183, 184, 186, 194, 198, 209, 211, 215, 219, 249, 252, 254,  
262, 265, 274, 282, 289, 303, 317, 320, 321, and 322, C.I.  
Direct Red 1, 2, 4, 9, 11, 13, 17, 20, 23, 24, 28, 31, 33,  
37, 39, 44, 46, 62, 63, 75, 79, 80, 81, 83, 84, 89, 95, 99,  
113, 197, 201, 218, 220, 224, 225, 226, 227, 228, 229, 230,  
20 and 231, C.I. Reactive Red 1, 2, 3, 4, 5, 6, 7, 8, 11, 12,  
13, 15, 16, 17, 19, 20, 21, 22, 23, 24, 28, 29, 31, 32, 33,  
34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 45, 46, 49, 50, 58,  
59, 63, and 64, C.I. Solubilized Red 1, C.I. Food Red 7,  
9, and 14, and C.I. Pigment Red 41, 48, 54, 57, 58, 63, 68,  
25 and 81; blue dyes and pigments, such as C.I. Acid Blue 1,  
7, 9, 15, 22, 23, 25, 27, 29, 40, 41, 43, 45, 54, 59, 60,  
62, 72, 74, 78, 80, 82, 83, 90, 92, 93, 100, 102, 103, 104,  
112, 113, 117, 120, 126, 127, 129, 130, 131, 138, 140, 142,  
143, 151, 154, 158, 161, 166, 167, 168, 170, 171, 182, 183,  
30 184, 187, 192, 199, 203, 204, 205, 229, 234, 236, and 249,  
C.I. Direct Blue 1, 2, 6, 15, 22, 25, 41, 71, 76, 77, 78,  
80, 86, 87, 90, 98, 106, 108, 120, 123, 158, 160, 163, 165,  
168, 192, 193, 194, 195, 196, 199, 200, 201, 202, 203, 207,  
225, 226, 236, 237, 246, 248, and 249, C.I. Reactive Blue  
35 1, 2, 3, 4, 5, 7, 8, 9, 13, 14, 15, 17, 18, 19, 20, 21, 25,  
26, 27, 28, 29, 31, 32, 33, 34, 37, 38, 39, 40, 41, 43, 44,  
and 46, C.I. Solubilized Vat Blue 1, 5, and 41, C.I. Vat

Blue 29, C.I. Food Blue 1 and 2, C.I. Basic Blue 9, 25, 28, 29, and 44, and C.I. Pigment Blue 1 and 17; and black dyes and pigments, such as C.I. Acid Black 1, 2, 7, 24, 26, 29, 31, 48, 50, 51, 52, 58, 60, 62, 63, 64, 67, 72, 76, 77, 94, 107, 108, 109, 110, 112, 115, 118, 119, 121, 122, 131, 132, 139, 140, 155, 156, 157, 158, 159, and 191, C.I. Direct Black 17, 19, 22, 32, 35, 38, 51, 56, 62, 71, 74, 75, 77, 94, 105, 106, 107, 108, 112, 113, 117, 118, 132, 133, 146, 154, 168, 171, and 195, C.I. Reactive Black 1, 3, 4, 5, 6, 8, 9, 10, 12, 13, 14, and 18, C.I. Solubilized Vat Black 1, and C.I. Food Black 2. These colorants may be used alone or as a mixture of two or more.

In the ink composition according to the present invention, water is a main solvent. Water may be pure water obtained by ion exchange, ultrafiltration, reverse osmosis, distillation or the like, or ultrapure water. Further, water, which has been sterilized by ultraviolet irradiation or by addition of hydrogen peroxide, is suitable because, when the ink composition is stored for a long period of time, it can prevent the growth of mold or bacteria.

The ink composition according to the present invention may further comprise a base. Examples of bases usable herein include: inorganic bases, such as sodium hydroxide, potassium hydroxide, lithium hydroxide, calcium hydroxide, barium hydroxide, strontium hydroxide, radium hydroxide, beryllium hydroxide, magnesium hydroxide, and ammonia; mono-, di-, and tri-lower alkylamines, such as ethylamine, diethylamine, triethylamine, propylamine, dipropylamine, diisopropylamine, tert-butylamine, dibutylamine, diisobutylamine, isopropylamine, sec-butylamine, and pentylamine; lower alkyl lower hydroxyalkoxyamines, such as 3-ethoxypropylamine and 3-methoxypropylamine; lower alkyl lower alkoxyamines, such as 3-ethoxypropylamine and 3-methoxypropylamine; mono-, di-, and tri-lower hydroxyalkylamines, such as 2-aminoethanol, 2-(dimethylamino)ethanol, 2-(diethylamino)ethanol,

diethanolamine, N-butyldiethanolamine, triethanolamine, aminomethylpropanol, and triisopropanolamine; and organic amines, such as iminobispropylamine, 3-diethylaminopropylamine, dibutylaminopropylamine, methylaminopropylamine, dimethylaminopropanediamine, and methyliminobispropylamine. These bases can stably dissolve the cationic, water-soluble resin and the colorant and can maintain the dissolved state. For example, when an acid addition salt is used as the cationic, water-soluble resin, the alkali-soluble colorant is in some cases insoluble. Addition of the base enables the alkali-soluble colorant to be stably dissolved.

In the ink composition according to the present invention, the water-soluble organic solvent means to a medium having an ability to dissolve a solute and is preferably selected from water-soluble solvents having a smaller vapor pressure than water. Examples thereof include: polyhydric alcohols, such as ethylene glycol, propylene glycol, butanediol, pentanediol, 2-butene-1,4-diol, 2-methyl-2,4-pentanediol, glycerin, 1,2,6-hexanetriol, diethylene glycol, and dipropylene glycol; ketones, such as acetonylacetone; esters, such as  $\gamma$ -butyrolactone, diacetin, and triethyl phosphate; lower alkoxy lower alcohols, such as 2-methoxyethanol and 2-ethoxyethanol; furfuryl alcohol; tetrahydrofurfuryl alcohol; and thiodiglycol. Further, solvents usable herein include not only those that are liquid at room temperature but also those that are solid at room temperature and, when heat melted, can function as a solvent, and those that, when used in combination with an aqueous solution or other solvent(s), can function as a solvent. That the vapor pressure of the organic solvent is lower than that of pure water is advantageous in that, even though drying of the ink proceeds at the front end of the ink jet head, the organic solvent can stably dissolve the solutes.

Although the amount of the water-soluble organic solvent used may be suitably determined, it is preferably,

## 10

for example, 5 to 50% by weight based on the total weight of the ink.

According to a preferred embodiment of the present invention, the ink composition according to the present invention may further comprise an additional organic solvent. Examples of additional solvents usable herein include imidazole, methylimidazole, hydroxyimidazole, triazole, nicotinamide, dimethylaminopyridine,  $\epsilon$ -caprolactam, 1,3-dimethyl-2-imidazolidinone, lactamide, sulfolane, dimethylsulfoxide, 1,3-propanesultone, methyl carbamate, ethyl carbamate, 1-methylol-5,5-dimethylhydantoin, hydroxyethylpiperazine, piperazine, ethyleneurea, propyleneurea, ethylene carbonate, propylene carbonate, dimethyl sulfoxide, N-methyl-2-pyrrolidinone, 2-pyrrolidinone, acetamide, formamide, dimethylformamide, N-methylformamide, and dimethylacetamide. The addition of the organic solvent can prevent the creation of a precipitate, for example, at a low temperature and permits printing to be stably performed under such an environment.

If necessary, assistants commonly used in the ink for ink jet recording may be added to the ink composition according to the present invention. Examples of assistants usable herein include penetration accelerators, viscosity modifiers, surface tension modifiers, hydrotropy agents, humectants, pH adjustors, antimolds, chelating agents, preservatives, and rust preventives. When the ink is used in an ink jet recording method wherein the ink is charged, it is possible to add a specific resistance modifier selected from inorganic salts, such as lithium chloride, sodium chloride, and ammonium chloride.

Penetration accelerators usable herein include: lower alcohols, such as ethanol, isopropanol, butanol, and pentanol; cellosolves, such as ethylene glycol monobutyl ether; carbitols, such as diethylene glycol monobutyl ether, triethylene glycol monobutyl ether glycol ether; and surfactants.

Surface tension modifiers usable herein include

diethanolamine, triethanolamine, alcohols, such as glycerin and diethylene glycol, and nonionic, cationic, anionic, or amphoteric surfactants.

Preferred hydrotrophy agents usable herein include  
5 urea, alkylureas, ethyleneurea, propyleneurea, thiourea, guanidine acid salts, and tetraalkylammonium halides.

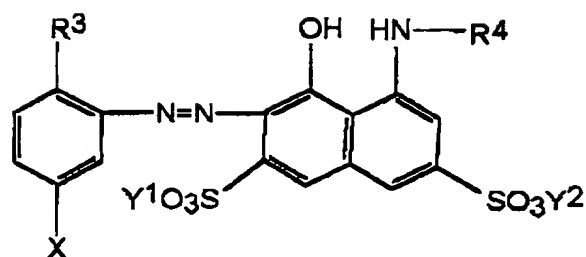
Humectants usable herein include glycerin and diethylene glycol which may be added also as the water-soluble organic solvent. Further humectants include  
10 saccharides, such as maltitol, sorbitol, gluconic lactone, and maltose.

Bases described above may be used also as the pH adjustor.

Ink set

15 According to another aspect of the present invention, there is provided an ink set comprising: a black ink comprising at least one alkali-soluble colorant selected from a group of dyes consisting of C.I. Direct Black 19, 35, 154, 168, 171, and 195 and C.I. Food Black 2; a yellow  
20 ink comprising at least one colorant selected from a group of dyes consisting of C.I. Direct Yellow 50, 55, 86, 132, 142, and 144 and C.I. Acid Yellow 23; a cyan ink comprising at least one colorant selected from a group of dyes consisting of C.I. Direct Blue 86, 87, and 199 and C.I.  
25 Acid Blue 9 and 249, and a magenta ink comprising at least one colorant selected from a group of dyes consisting of C.I. Direct Red 9 and 227, C.I. Acid Red 52 and 289, and dyes represented by the following structural formula (II). Use of this ink set can provide a full color image having  
30 excellent waterproofness, independently of the recording medium. Without intending to be bound by theory, it is believed that the above groups of dyes exhibit strong electrostatic interaction with the cationic, water-soluble resin comprising a repeating unit represented by the  
35 formula (I), imparting excellent waterproofness.

12



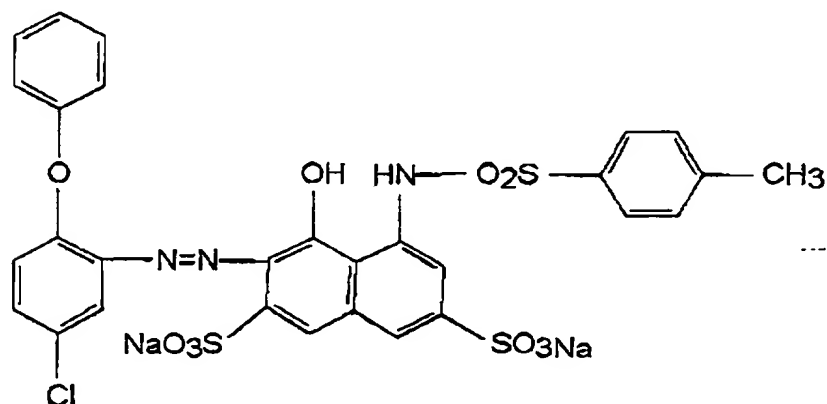
(II)

wherein  $R^3$  and  $R^4$  represent a hydrogen atom, a  $C_{1-5}$  alkyl group, an aryl group, a  $C_{1-5}$  alkoxy group, or a phenoxy group or a derivative thereof, a triazine ring or a derivative thereof, a carboxyl group or a salt thereof, or a sulfonyl group or a derivative thereof;

X represents a hydrogen or halogen atom; and

$Y^1$  and  $Y^2$  which may be the same or different represent an alkali metal atom, ammonium, or a  $C_{1-5}$  alkylammonium.

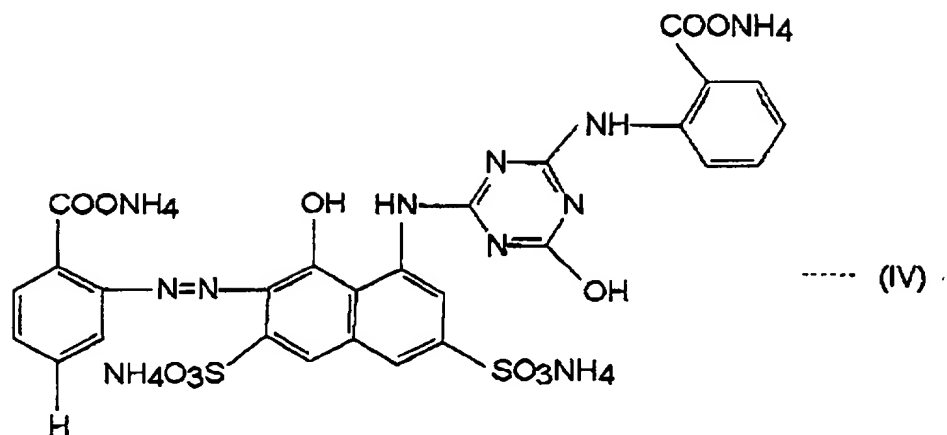
Among the group of dyes represented by the structural formula (II), dyes represented by the following structural formula (III) are preferred. These dyes fall into the category of C.I. Acid Red 249 according to the color index.



----- (III)

Further, dyes represented by the following structural formula (IV) may also be preferably used:

13



Use of the ink composition according to the present invention in combination with an ink composition containing an anionic material can realize an image having no significant color-to-color bleeding between two inks. It is believed that upon contact of the two ink compositions on a recording medium, the cationic, water-soluble resin contained in the ink composition according to the present invention is reacted with the anionic material to form a precipitate, inhibiting further spreading of the ink composition on the recording medium. This results in the realization of an image having no significant color-to-color bleeding.

Thus, according to another aspect of the present invention, there is provided an ink set comprising the ink composition of the present invention as a first ink composition and the ink composition containing an anionic material as a second ink composition.

The ink set generally comprises a yellow ink, a magenta ink, a cyan ink, and a black ink. According to a preferred embodiment of the present invention, the ink composition of the present invention constitutes a yellow ink, a magenta ink, and a cyan ink with the ink composition containing an anionic material constituting a black ink, or alternatively, the ink composition of the present invention constitutes a black ink with the ink composition

containing an anionic material constituting a yellow ink, a magenta ink, and a cyan ink.

5 The second ink composition, that is, the ink composition containing an anionic material, basically comprises a colorant, a water-soluble organic solvent, water, an anionic material, and a base.

10 According to a preferred embodiment of the present invention, the anionic material may be an anionic resin. Preferred examples of anionic resins usable herein include those which has as a functional group an anionic group, such as a sulfonic acid, carboxylic acid, phosphoric acid, or hydroxyl group, and, when combined with a base to form a salt, can be made water-soluble. Specific examples thereof include: cellulose derivatives, such as 15 carboxymethyl cellulose and viscose; naturally occurring polymers, such as alginic acid, gum arabic, tragacanth, and lignin sulfonic acid; starch derivatives, such as starch phosphate and carboxymethyl starch salts; and synthetic polymers, such as polyacrylic acid, polymethacrylic acid, 20 polyvinylsulfuric acid, polyvinylsulfonic acid, condensed naphthalenesulfonic acid, ethylene/acrylic acid copolymer, styrene/acrylic acid copolymer, styrene/methacrylic acid copolymer, acrylic ester/acrylic acid copolymer, acrylic ester/methacrylic acid copolymer, methacrylic ester/acrylic acid copolymer, 25 methacrylic ester/methacrylic acid copolymer, styrene/itaconic acid copolymer, itaconic ester/itaconic acid copolymer, vinylnaphthalene/acrylic acid copolymer, vinylnaphthalene/methacrylic acid copolymer, vinylnaphthalene/itaconic acid copolymer, 30 phenolic resin and copolymers thereof.

In the present invention, the colorant in the second ink composition may be a pigment dispersed in the above anionic resin.

35 According to another preferred embodiment of the present invention, the anionic material may be a pigment having an anionic functional group on its surface. The anionic group combines with a base to form a salt, enabling

## 15

pigment particles to be dispersed in water. Anionic functional groups usable herein include, for example, sulfonic acid, carboxylic acid, and phosphoric acid groups. The pigment having an anionic functional group on its surface may be prepared by grafting the functional group onto the surface of the pigment. Commercially available pigments may be used as the pigment, and examples thereof include Microjet CW-1 and Microjet CW-2 (tradename, manufactured by Orient Chemical Industries Ltd.).

Bases usable herein include those described above in connection with the ink composition according to the present invention.

The other components of the ink composition containing an anionic material of the ink set according to the present invention may be basically the same as those of the ink composition according to the present invention.

EXAMPLE

The following examples further illustrate the present invention but are not intended to limit it. In the following examples, "%" is by weight unless otherwise specified.

Example 1: Preparation of polyallylamine (PAA) hydrochloride

To 57.1 g of monoallylamine (1 mol) was added 101.4 g (1 mol) of 36% hydrochloric acid. Thus, a 59% aqueous monoallylamine hydrochloride solution was prepared. The solution was concentrated by means of a rotary evaporator to a concentration of 67%. 2,2'-azobis-(2-amidinopropane) dihydrochloride (0.01 mol) was added to the concentrate, and polymerization was carried out at 50°C for 24 hr. After the completion of the polymerization, the resultant polymer was purified and dried to give PAA hydrochloride. The yield was 90%.

Example 2: Dehydrochlorination of PAA hydrochloride

PAA hydrochloride (10 g) prepared in Example 1 was dissolved in 90 g of ultrapure water, and the acid portion of the PAA hydrochloride was then removed by using an ion

exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. The resin thus obtained had an average molecular weight of 5,000.

5        Example 3: Preparation of low-molecular weight PAA

10        Monoallylamine (286 g, 5 mol) was added dropwise to 550 g (5.3 mol) of 35% hydrochloric acid with stirring at 5 to 10°C under ice cooling. After the addition of monoallylamine was completed, water and hydrogen chloride  
15        were removed at 60°C under reduced pressure by means of a rotary evaporator to give a white crystal. The crystal was dried at 80°C under reduced pressure to give 485 g of monoallylamine hydrochloride. The water content of the hydrochloride was measured by thermal analysis (TG) and  
20        found to be 4.8%.

25        The monoallylamine hydrochloride thus prepared was dissolved in water to prepare a 70% aqueous solution of the monoallylamine hydrochloride. A radical initiator, 2,2'-azobis-(2-amidinopropane) dihydrochloride (0.01 mol), was  
30        added to 50 g of the aqueous solution, and 25 g of 35% hydrochloric acid was further added thereto. Thereafter, the mixture was allowed to stand at 60°C for 40 hr, thereby permitting polymerization to proceed. After the completion of the polymerization, the system was poured into a mixed  
35        solution composed of acetone (1900 g) and methanol (100 g), and the resultant precipitate was collected by filtration. The filter cake was dissolved in ultrapure water, and the acid portion of the polymer was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. PAA thus obtained had an average molecular weight of 2,000.

Example 4

35        PAA was prepared in the same manner as in Example 3, except that the amount of the radical initiator used was doubled. PAA thus obtained had an average molecular weight of 900.

Example 5

PAA was prepared in the same manner as in Example 3, except that the amount of the radical initiator used was tripled and the concentration of the aqueous monoallylamine hydrochloride solution was 50%. PAA thus obtained had an average molecular weight of 600.

Example 6

PAA was prepared in the same manner as in Example 3, except that the amount of the radical initiator was quadrupled and the polymerization time was 80 hr. PAA thus obtained had an average molecular weight of 350.

Further, polymerization was carried out in the same manner as described above to prepare resins having various average molecular weights. Further, other acids also could be used as the salt of the monomer.

In the following description, for example, "PAA (Mw 1,500)" means a free type polyallylamine having a molecular weight of 1,500. "PAA-R (Mw 1,500)" means a free type resin with the N-position of PAA (Mw 1,500) being substituted by R, and "PAA-R, R' (Mw 1,500)" means a free type resin with the N-position of PAA (Mw 1,500) being substituted by R and R'. "PAA (Mw 1,500) hydrochloride" means that hydrochloric acid has been added as a salt to a free type PAA (Mw 1,500). Further, in the following description, a methyl group, an ethyl group, and a propyl group are abbreviated to "Me," "Et," and "Pr," respectively.

Example 7

90% formic acid (90 g) was added dropwise to 100 g of the 25% aqueous solution of PAA (Mw 5,000) prepared in Example 2 while keeping the aqueous solution at 30°C, and 83 g of 35% formaldehyde was then added dropwise thereto. After the addition of the formaldehyde was completed, the temperature of the system was gradually raised to 80°C. This results in the evolution of carbon dioxide gas. The system was allowed to stand at that temperature for 6 hr.

it was confirmed that the evolution of the gas subsided. The reaction mixture was poured into acetone to precipitate the reaction product. The precipitate was collected by filtration and dried to give white powder. The yield was 51.1 g. The product was analyzed by CHN and proton-NMR and confirmed to be a formate of PAA-Me, Me (Mw 7,400).

#### Example 8

The white powder (20 g) prepared in Example 7 was dissolved in 80 g of ultrapure water, and formic acid was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. Thus, PAA-Me, Me (Mw 7,400) was prepared.

#### Example 9

The procedure of Example 7 was repeated, except that 100 g of the 25% aqueous solution of PAA (Mw 600) prepared in Example 5 was used. Thus, white powder was prepared. The yield was 33.2 g. The product was analyzed by CHN and proton-NMR and confirmed to be a formate of PAA-Me, Me (Mw 890).

#### Example 10

The white powder (20 g) prepared in Example 9 was dissolved in 80 g of ultrapure water, and formic acid was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. Thus, PAA-Me, Me (Mw 890) was prepared.

#### Example 11

90% formic acid (45 g) was added dropwise to 100 g of the 25% aqueous solution of PAA (Mw 2,000) prepared in Example 3 while keeping the aqueous solution at 30°C. After the addition of formic acid was completed, 41.5 g of 35% formaldehyde was added dropwise thereto. After the addition of the formaldehyde was completed, the temperature of the system was gradually raised to 80°C. This resulted in the evolution of carbon dioxide gas. The system was

allowed to stand at that temperature for 6 hr. It was confirmed that the evolution of the gas subsided. The reaction mixture was poured into acetone to precipitate the reaction product. The precipitate was collected by filtration and dried to give white powder. The yield was 42.5 g. The product was analyzed by CHN and proton-NMR and confirmed to be a formate of PAA-Me (Mw 2,500).

#### Example 12

The white powder (20 g) prepared in Example 11 was dissolved in 80 g of ultrapure water, and formic acid was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. Thus, PAA-Me (Mw 2,500) was prepared.

#### Example 13

A 50% aqueous acetic acid solution (112 g) was added dropwise to 100 g of the 25% aqueous solution of PAA (Mw 900) prepared in Example 4 while keeping the aqueous solution at 30°C. After the addition of acetic acid was completed, 83 g of 35% formaldehyde was added dropwise thereto. After the addition of the formaldehyde was completed, the temperature of the system was gradually raised to 80°C. This resulted in the evolution of carbon dioxide gas. The system was allowed to stand at that temperature for 6 hr. It was confirmed that the evolution of the gas subsided. The reaction mixture was poured into acetone to precipitate the reaction product. The precipitate was collected by filtration and dried to give white powder. The yield was 40.1 g. The product was analyzed by CHN and proton-NMR and confirmed to be an acetate of PAA-Et, Et (Mw 1,750).

#### Example 14

The white powder (20 g) prepared in Example 13 was dissolved in 80 g of ultrapure water, and acetic acid was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously

ion-exchanged with sodium hydroxide. Thus, PAA-Et, Et (Mw 2,100) was prepared.

Example 15

A 50% aqueous acetic acid solution (56 g) was added dropwise to 100 g of the 25% aqueous solution of PAA (Mw 600) prepared in Example 5 while keeping the aqueous solution at 30°C. After the addition of acetic acid was completed, 41.5 g of 35% formaldehyde was added dropwise thereto. After the addition of the formaldehyde was completed, the temperature of the system was gradually raised to 80°C. This resulted in the evolution of carbon dioxide gas. The system was allowed to stand at that temperature for 6 hr. It was confirmed that the evolution of the gas subsided. The reaction mixture was poured into acetone to precipitate the reaction product. The precipitate was collected by filtration and dried to give white powder. The yield was 40.5 g. The product was analyzed by CHN and proton-NMR and confirmed to be an acetate of PAA-Et (Mw 760).

Example 16

The white powder (20 g) prepared in Example 13 was dissolved in 80 g of ultrapure water, and acetic acid was then removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. Thus, PAA-Et (Mw 760) was prepared.

Example 17

90% formic acid (45 g) and 56 g of a 50% aqueous acetic acid solution were added dropwise to 100 g of the 25% aqueous solution of PAA (Mw 350) prepared in Example 6 while keeping the aqueous PAA solution at 30°C. After the addition of formic acid and acetic acid were completed, 93 g of 35% formaldehyde was added dropwise thereto. Thereafter, 96 g of 35% acetic acid was further added thereto. After the addition of acetic acid was completed, the temperature of the system was gradually raised to 80°C.

This resulted in the evolution of carbon dioxide gas. The system was allowed to stand at that temperature for 6 hr. It was confirmed that the evolution of the gas subsided. The reaction mixture was poured into acetone to precipitate the reaction product. The precipitate was collected by filtration and dried to give white powder. The yield was 38.0 g. The whole white powder was dissolved in 150 g of ultrapure water, and acetic acid and formic acid were removed by using an ion exchange resin (IRA 900, manufactured by Organo Corp.) which had been previously ion-exchanged with sodium hydroxide. The product was analyzed by CHN and proton-NMR and confirmed to be PAA-Me, Et (Mw 610).

Polyallylamines synthesized in Examples 1 to 6 were reacted with suitably selected carboxylic acids in the same manner as in Examples 7 to 17. As a result, poly-(N-alkylallylamines) or poly-(N,N-dialkylallylamines) having desired molecular weight could be synthesized.

Example 18: Color ink set 1

C.I. Acid Yellow 23 (3 g), 8 g of glycerin, and 10 g of diethylene glycol monobutyl ether were added to 15 g of a 15% aqueous solution of PAA-Me, Me (Mw 7,400) prepared in Example 8, and ultrapure water was further added thereto until the total amount reached 100 g. Thus, yellow ink 1 was prepared.

The above procedure was repeated, except that 2 g of C.I. Acid Red 13 was used as the dye instead of C.I. Acid Yellow 23. Thus, magenta ink 1 was prepared. Further, the above procedure was repeated, except that 2 g of C.I. Acid Blue 9 was used as the dye instead of C.I. Acid Yellow 23. Thus, cyan ink 1 was prepared.

These three inks were collectively used as color ink set 1.

Example 19: Color ink set 2

C.I. Acid Yellow 17 (3.5 g), 3 g of diethylene glycol, and 7 g of triethylene glycol monobutyl ether were added to 30 g of a 15% aqueous solution of PAA-Me (Mw 2,500)

prepared in Example 12, and ultrapure water was further added thereto until the total amount reached 100 g. Thus, yellow ink 2 was prepared.

5 The above procedure was repeated, except that 3.5 g of C.I. Acid Red 1 was used as the dye instead of C.I. Acid Yellow 17. Thus, magenta ink 2 was prepared. Further, the above procedure was repeated, except that 3.5 g of C.I. Direct Blue 86 was used as the dye instead of C.I. Acid Yellow 17. Thus, cyan ink 2 was prepared.

10 These three inks were collectively used as color ink set 2.

Example 20: Color ink set 3

15 Daiwa IJ Yellow 214HL (tradename, a product of Daiwa Kasei Co., Ltd., C.I. Direct Yellow 86) (2 g), 5 g of thioglycol, 5 g of diethylene glycol monobutyl ether, 15 g of N-methylimidazole, and 1 g of Surfynol 465 (tradename, a product of Air Products and Chemicals, Inc.) were added to 25 g of a 20% aqueous solution of PAA-Et, Et (Mw 1,750) prepared in Example 14, and ultrapure water was added  
20 thereto until the total amount reached 100 g. Thus, yellow ink 3 was prepared.

The above procedure was repeated, except that 6 g of Palatine Fast Pink BNI was used as the dye instead of Daiwa IJ Yellow 214HL. Thus, magenta ink 3 was prepared.  
25 Further, the above procedure was repeated, except that 3 g of Project Fast Cyan 1 was used as the dye instead of Daiwa IJ Yellow 214HL. Thus, cyan ink 3 was prepared.

These three inks were collectively used as color ink set 3.

30 Example 21: Color ink set 4

Yellow ink 4, magenta ink 4, and cyan ink 4 were prepared in the same manner as in Example 18, except that 15 g of a 20% aqueous solution of PAA-Me, Me (Mw 890) as the cationic, water-soluble resin was used instead of the  
35 15% aqueous solution of PAA-Me, Me (Mw 7,400) and maltitol was used instead of glycerin.

These three inks were collectively used as color ink

## 23

set 4.

Example 22: Color ink set 5

MY 123 (tradename, a product of Arimoto Chemical Company Ltd.) (3 g) was dissolved in 25 g of a 20% aqueous solution of PAA-Et (Mw 760) prepared in Example 16 to prepare an aqueous cationic, water-soluble resin-dye solution. Thioglycol (10 g) and diethylene glycol monoethyl ether (10 g) were added to the aqueous solution, and ultrapure water was further added thereto until the total amount reached 100 g. Thus, yellow ink 5 was prepared.

The above procedure was repeated, except that 3.2 g of Savinyl Pink 6BLS (tradename, a product of Clariant Japan K.K.) was used as the dye instead of MY 123. Thus, magenta ink 5 was prepared. Further, the above procedure was repeated, except that 3 g of Varifast Fast Blue 1605 was used as the dye instead of MY 123. Thus, cyan ink 5 was prepared.

These three inks were collectively used as color ink set 5.

Example 23: Black ink 1 and color ink set 6

A 25% aqueous solution of the formate of PAA-Me, Me (Mw 890) prepared in Example 9 was prepared. C.I. Direct Black 195 (6 g), 0.7 g of potassium hydroxide, 10 g of glycerin, 10 g of diethylene glycol monobutyl ether, and 0.8 g of Surfynol 465 as a nonionic surfactant were added to and mixed with 12 g of the 25% aqueous solution, and ultrapure water was further added thereto until the total amount reached 100 g. Thus, black ink 1 was prepared.

Yellow ink 6, magenta ink 6, and cyan ink 6 were prepared in the same manner as described just above, except that 2.5 g of C.I. Direct Yellow 132, 2 g of C.I. Acid Red 249, or 4 g of C.I. Direct Blue 199 was used instead of the above dye. These three inks were collectively used as color ink set 6.

Example 24: Black ink 2

A styrene/acrylic acid copolymer (tradename: Joncryl

679, Mw 7,000, acid value 200, manufactured by Johnson Polymer Corp.) (8 g) was mixed with a solution of 22 g of triethanolamine and 1.7 g of potassium hydroxide in 1,200 g of ultrapure water, and the mixture was stirred at 70°C to dissolve the copolymer in the solution. Furnace black, carbon black, was added as a colorant in an amount of 50 g to the mixture. After premixing, dispersion was carried out for 10 hr by means of Eiger Motormill to prepare a dispersion. Zirconia was used as a dispersing ball. Glycerin (120 g) was added to the dispersion to prepare black ink 2. The average particle diameter of carbon black in the ink was 160 nm.

Example 25: Black ink 3

Carbon black (tradename: Microjet CW-1, Orient Chemical Industries, Ltd.), which had been subjected to alkali-reactive group treatment, was added in an amount of 100 g to 400 g of ultrapure water with stirring to prepare a dispersion. Other ingredients were added thereto so that the final ink comprised 8% of carbon black, 10% of glycerin, 10% of triethylene glycol monobutyl ether, 1% of Surfynol 465, and 1% of 2-dimethylaminoethanol with the balance consisting of water. Thus, black ink 3 was prepared. The average particle diameter of carbon black in the ink was 75 nm.

Comparative Example 1: Color ink set 7

Yellow ink 7, magenta ink 7, and cyan ink 7 were prepared in the same manner as in Example 18, except that PAA-Me, Me (Mw 7,400) was not used. These three inks were collectively used as color ink set 7.

Comparative Example 2: Color ink set 8

Yellow ink 8, magenta ink 8, and cyan ink 8 were prepared in the same manner as in Example 20, except that PAA-H (tradename, free type polyallylamine, Mw 100,000, manufactured by Nitto Boseki Co., Ltd.) was used instead of PAA-Et, Et (Mw 1,750). All of these inks had a viscosity of 10 mPa.s. These three inks were collectively used as color ink set 8.

Comparative Example 3: Color ink set 9

Yellow ink 9, magenta ink 9, and cyan ink 9 were prepared in the same manner as in Example 22, except that SP-200 (tradename, polyethyleneimine, manufactured by Nippon Shokubai Kagaku Kogyo Co., Ltd.) was used instead of PAA-Et (Mw 760). These three inks were collectively used as color ink set 9.

Evaluation of ink composition

The ink compositions prepared above were evaluated by the following tests in which a modified color printer MJ-5000C, manufactured by Seiko Epson Corporation was used. The inks were used in the evaluation after filtration through a 5  $\mu$ m filter.

Evaluation test 1: Waterproofness

A 1.5 cm-width full density blotted image for each of black, yellow, magenta, cyan, red, green, and blue was printed at intervals of 3.5 cm (non-recorded areas) on plain paper (tradename; Zerox-P, manufactured by Fuji Xerox Co., Ltd.) of size A4 as a recording medium. The records were allowed to air-dry for one hr and then immersed in 500 ml of water for one hr. Thereafter, the wetted records were allowed to air-dry for 24 hr, and halos (migration of ink) in the non-recorded areas and fading in the recorded areas were visually inspected. The results were evaluated according to the following criteria.

A: Neither halos in non-recorded areas nor change in recorded areas

B: For some inks, slight halos in non-recorded areas

C: Significant halos in non-recorded areas and fading in recorded areas

Evaluation test 2: Bleeding

The same recording medium and printer as used in the evaluation test 1 were provided, and a full color image was printed by combining the black ink and the color ink set prepared in the examples and the comparative examples. Thereafter, the image was visually inspected for bleeding in black ink recorded areas. The results were evaluated

according to the following criteria.

A: No bleeding on paper and color print

B: No bleeding on paper with slight bleeding on color print

5 C: Bleeding to some extent on either or both of paper and color print

D: Significant bleeding on either or both of paper and color print

Evaluation test 3: Environmental stability

10 An ink sealed into a container was allowed to stand at 60°C for one day and then at -30°C for one day. This procedure was repeated ten times. Thereafter, the ink was visually inspected for creation of a precipitate and discoloration of the ink. The results were evaluated according to the following criteria:

A: No change

B: Precipitate or discoloration developed

C: Significant precipitate or discoloration developed

The results are summarized in the following table.

20	Color ink set	Black ink	Water-proofness	Bleed-ing	Environmental stability
	1	2	A	A	A
	2	2	A	A	A
	3	3	A	A	A
25	4	3	A	A	A
	5	3	A	A	A
	6	1	A	C	A
	7	2	C	B	A
	8	-	-( *1)	-( *1)	A
30	9	3	A	A	C

Note) \*1: No ink could be ejected from about 50% of nozzles, and droplet trajectory directionality problem was

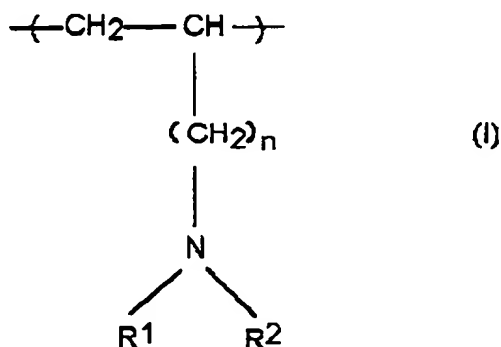
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created on ink ejected from 30% of nozzles, yielding no good print. This made it impossible to perform the evaluation of waterproofness and bleeding.

What is claimed is:

1. An ink composition comprising an alkali-soluble colorant, a water-soluble organic solvent, water, and a cationic, water-soluble resin,

the cationic, water-soluble resin comprising a repeating unit represented by the following formula (I):



wherein  $R^1$  and  $R^2$  which may be the same or different represent a hydrogen atom or a  $C_{1-5}$  alkyl group, provided that  $R^1$  and  $R^2$  do not simultaneously represent a hydrogen atom; and

$n$  is 0, 1, or 2.

2. The ink composition according to claim 1, wherein the cationic, water-soluble resin has an average molecular weight of 300 to 10,000.

3. The ink composition according to claim 1 or 2, wherein the cationic, water-soluble resin is an acid addition salt.

4. The ink composition according to any one of claims 1 to 3, which further comprises a base.

5. The ink composition according to any one of claims 1 to 4, wherein the base is a hydroxide of an alkali metal or an alkaline earth metal.

6. The ink composition according to any one of claims 1 to 5, wherein both  $R_1$  and  $R_2$  in the repeating unit represented by the formula (I) represent a methyl group.

7. The ink composition according to any one of claims 1 to 6, wherein n is 1.

8. The ink composition according to any one of claims 1 to 7, wherein the water-soluble organic solvent has a lower vapor pressure than water.

9. The ink composition according to any one of claims 1 to 8, wherein the water-soluble organic solvent is contained in an amount of 5 to 50% by weight based on the total amount of the ink.

10. The ink composition according to any one of claims 1 to 9, wherein the colorant is a dye or a pigment.

11. The ink composition according to any one of claims 1 to 10, which further comprises a water-soluble resin other than the cationic, water-soluble resin.

12. An ink set comprising a black ink, a yellow ink, a cyan ink, and a magenta ink, said black, yellow, cyan, and magenta inks each independently being the ink composition according to any one of claims 1 to 11,

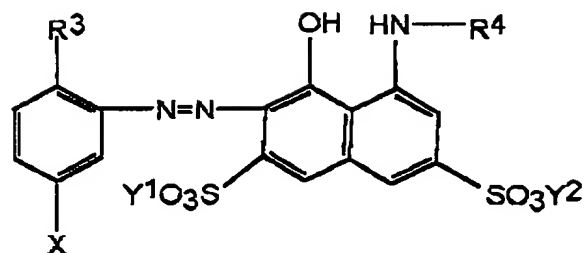
the black ink comprising a colorant selected from a group of dyes consisting of C.I. Direct Black 19, 35, 154, 168, 171, and 195 and C.I. Food Black 2,

the yellow ink comprising a colorant selected from a group of dyes consisting of C.I. Direct Yellow 50, 55, 86, 132, 142, and 144 and C.I. Acid Yellow 23.

the cyan ink comprising a colorant selected from a group of dyes consisting of C.I. Direct Blue 86, 87, and 199 and C.I. Acid Blue 9 and 249,

the magenta ink comprising a colorant selected from a group of dyes consisting of C.I. Direct Red 9 and 227, C.I. Acid Red 52 and 289, and dyes represented by the following structural formula (II):

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(II)

wherein  $R^3$  and  $R^4$  represent a hydrogen atom, a  $C_{1-5}$  alkyl group, an aryl group, a  $C_{1-5}$  alkoxy group, or a phenoxy group or a derivative thereof, a triazine ring or a derivative thereof, a carboxyl group or a salt thereof, or a sulfonyl group or a derivative thereof;

$X$  represents a hydrogen or halogen atom; and

$Y^1$  and  $Y^2$  which may be the same or different represent an alkali metal atom, ammonium, or a  $C_{1-5}$  alkylammonium.

13. An ink set comprising a first ink composition or a group of first ink compositions and a second ink composition or a group of second ink compositions,

the first ink composition being the ink composition according to any one of claims 1 to 11,

the second ink composition being an ink composition comprising an anionic material.

14. The ink set according to claim 13, wherein the group of first ink compositions comprises a yellow ink, a magenta ink, and a cyan ink and

the second ink composition is a black ink.

15. The ink set according to claim 13, wherein the first ink composition is a black ink and the second group of ink compositions comprises a yellow ink, a magenta ink, and a cyan ink.

16. The ink set according to any one of claims 13 to 15, wherein the anionic material contained in the second ink composition is an anionic, water-soluble resin.

17. The ink set according to any one of claims 16, wherein the colorant contained in the second ink composition is a pigment.

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18. The ink set according to any one of claims 13 to 15, wherein the anionic material in the second ink composition is a pigment having an anionic functional group on its surface.

19. A recording method comprising the step of: depositing an ink composition onto a recording medium to form an image on the recording medium,

wherein the ink composition according to any one of claims 1 to 11 or the ink composition in the ink set according to any one of claims 12 to 18 is used as the ink composition.

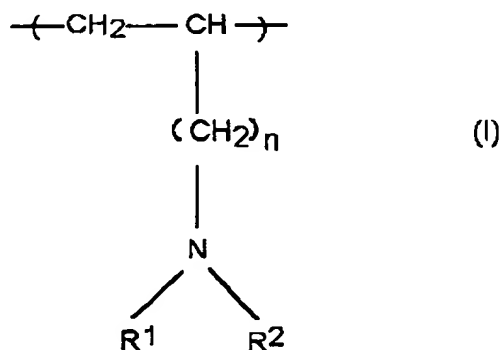
20. An ink jet recording method comprising the step of: ejecting and depositing droplets of an ink composition onto a recording medium to form an image on the recording medium,

wherein the ink composition according to any one of claims 1 to 11 or the ink composition in the ink set according to any one of claims 12 to 18 is used as the ink composition.

21. A recorded medium obtained by the method according to claim 19 or 20.

ABSTRACT

5 A composition, for ink jet recording, containing a cationic, water-soluble resin comprising a repeating unit represented by the following formula (I) can realize an image possessing excellent waterproofness and lightfastness and having no significant feathering or bleeding, especially no significant color-to-color bleeding:



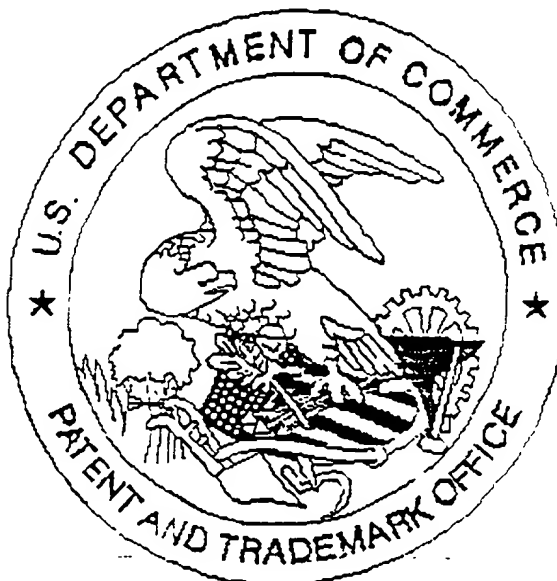
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wherein  $R^1$  and  $R^2$  which may be the same or different represent a hydrogen atom or a  $C_{1-5}$  alkyl group, provided that  $R^1$  and  $R^2$  do not simultaneously represent a hydrogen atom; and  $n$  is 0, 1, or 2.

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